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| FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | ATTY. DOCKET NO. 33035M059 | SERIAL NO. Unassigned |
| | APPLICANT: Yoshiki KUHARA et al. | |
| | FILING DATE February 2, 2001 | GROUP ART UNIT Unassigned |

31002 U.S. PTO
 09/773546
 02/02/01

U.S. Patent Documents

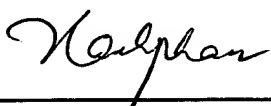
| Examiner Initials | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB-CLASS | FILING DATE, IF APPROPRIATE |
|-------------------|----|-----------------|------|------|-------|-----------|-----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |

Foreign Patent Documents

| Examiner Initials | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION | |
|-------------------|----|-----------------|------|---------|-------|-----------|-------------|----|
| | | | | | | | YES | NO |
| | AD | | | | | | | |
| | AE | | | | | | | |
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| | AI | | | | | | | |
| | AJ | | | | | | | |
| | AK | | | | | | | |
| | AL | | | | | | | |

OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
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| HP | AM | A. Morinaka et al. "Filter-type PLC-WDM Circuit with 1.65 μ m cut/ref. filter" PROCEEDINGS OF THE 1998 IEICE GENERAL CONFERENCE, 27-30 March 1998, C-3-158. |
| HP | AN | R. Takahashi et al. "Packaging of Optical Semiconductor Chips for SFF Optical Transceiver" PROCEEDINGS OF THE 1998 IEICE GENERAL CONFERENCE, 7-10 September 1999, C-3-28. |
| HP | AO | H. Takahashi et al. "A 2.5 Gb/s, 4-Channel Multiwavelength Light Source composed of UV Written Waveguide Gratings and Laser Diodes Integrated on Si" ECOC 97, 22-25 September 1997, Conference Publication No. 448, pp.355-358. |
| | AP | |
| | AQ | |

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| EXAMINER  | DATE CONSIDERED 10/02/03 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |